

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Nevagen, LLC

Application No./Patent No.: 09/300,959

Filed/Issue Date: April 27, 1999

Titled:

Nevagen, LLC

, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Maurizio Zanetti To: Eurogen Holding S.A.

The document was recorded in the United States Patent and Trademark Office at
Reel 012055, Frame 0480, or for which a copy thereof is attached.

2. From: Eurogen Holding S.A. To: Nevagen LLC

The document was recorded in the United States Patent and Trademark Office at
Reel 018333, Frame 0686, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☒ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature]
Signature

03/06/2009
Date

Maurizio Zanetti

Printed or Typed Name

MANAGER

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Maurizio Zanetti

Serial No. 09/300,959

Filed: April 27, 1999

For: *Somatic Transgene
Immunization and Related
Methods*

Examiner: WHEBE, Anne Marie Sabrina

Art Unit: 1633

Confirmation No.: 5037

CERTIFICATE OF ELECTRONIC TRANSMISSION UNDER 37 C.F.R. 1.6(a)(4)

I hereby certify that this correspondence, including listed enclosures, is being electronically transmitted in Portable Document Form (PDF) through EFS-Web via Hyper Text Transfer Protocol to the United States Patent and Trademark Office on:

Dated:

6 March 2009

Signed:

Victoria Poulsen
Victoria Poulsen

REVOCATION AND SUBSTITUTE POWER OF ATTORNEY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Madam:

NEVAGEN, LLC., owner of the entire right, title and interest in, to and under the invention listed above by virtue of an assignment, as recorded at Reel/Frame 018333/0686 on October 2, 2006, hereby appoints Morgan, Lewis & Bockius LLP, Customer No. 67374, and each of them, its attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Morgan, Lewis & Bockius LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

Please direct all future correspondence to the address associated with Customer No. 67374, Morgan, Lewis & Bockius LLP and direct all telephone calls to Morgan, Lewis & Bockius LLP at (415) 442-1000.

Date:

03/06/2009

Assignee:

Nevagen, LLC.

Signature:

Maurizio Zanetti

Typed Name:

Maurizio Zanetti

Position/Title:

Address:

6112 La Jolla Hermosa Avenue
La Jolla, California 92037